# **Intermittent Hypoxic Episodes: Do They Matter?**

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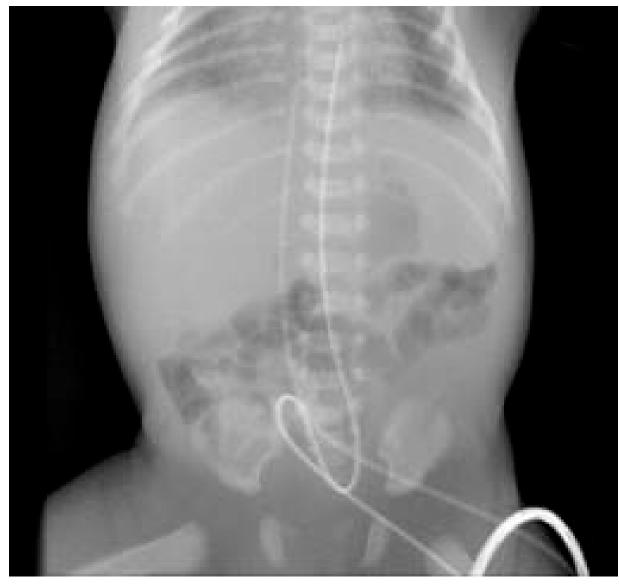


No hablo español, pero, buena comida, buena compañía!

**Do Intermittent Hypoxic Episodes Matter?** 

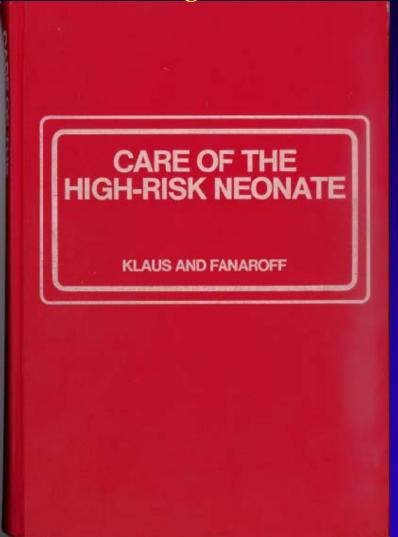
*Historical perspective*Magnitude of the problem
Proposed morbidities
Treatment strategies

### **Advent of Umbilical Arterial Lines**

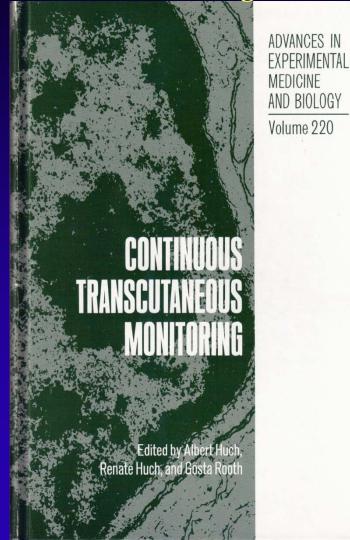


*1960-1970s* 

# *"Maintain PaO<sub>2</sub> between 60-80 mmHg" · Martin RJ*



#### Advent of Non-invasive Monitoring

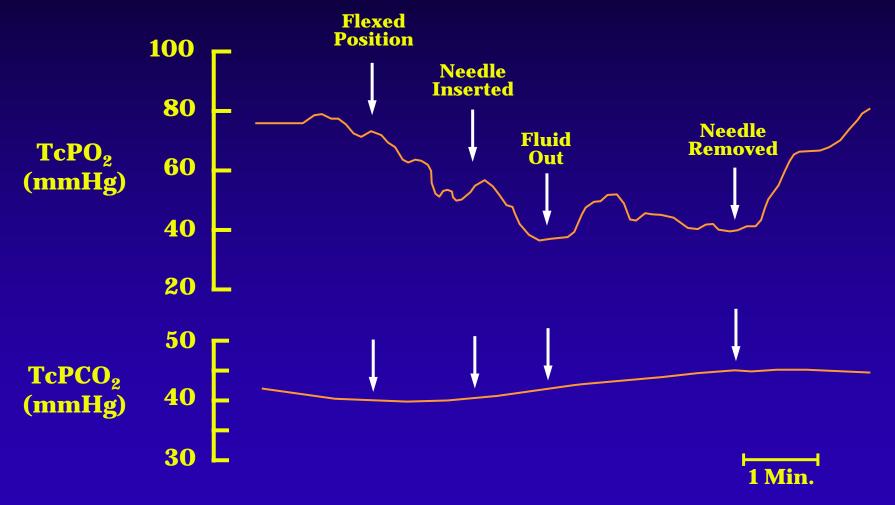


**Transcutaneous PO<sub>2</sub>: Lessons Learned** 

Magnitude of fluctuations in PO<sub>2</sub>

Effect of procedures, feeding, behavioral state, etc., on oxygenation

### Transcutaneous Oxygen and Carbon Dioxide Measurements during a Spinal Tap



Gleason: Pediatrics, 1983

# **Limitations of Transcutaneous PO**<sub>2</sub>

- > Dependence on skin perfusion
- > Skin burns from heated (43.5 $\rightarrow$ 44°C) electrodes
- Need for frequent calibrations and repositioning
- Loss of accuracy with advancing postnatal age

#### Limitations of Transcutaneous PO<sub>2</sub> and PCO<sub>2</sub> Monitoring in Infants with Bronchopulmonary Dysplasia

Ellen S. Rome, Eileen K. Stork, Waldemar A. Carlo, Richard J. Martin

Pediatrics Vol. 74 1984

#### Pulse Oximetry Advantages in Infants With Bronchopulmonary Dysplasia

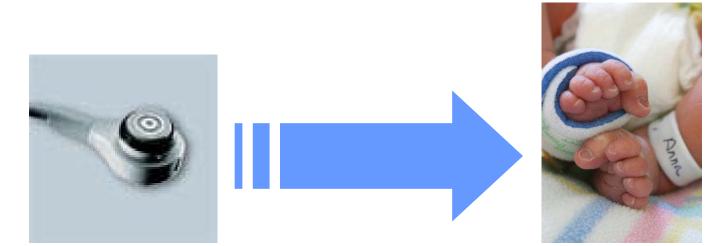
Alfonso J. Solimano, MD, John A. Smyth, MD, Tejinder K. Mann, MD, Susan G. Albersheim, MD, Gillian Lockitch, MD

Pediatrics Vol. 78 No. 5 April 1986

#### Pulse Oximetry in Very Low Birth Weight Infants With Acute and Chronic Lung Disease

Rangasamy Ramanathan, MD, Manuel Durand, MD, Carlos Larrazabal, MD Pediatrics Vol. 79 No. 4 April 1987





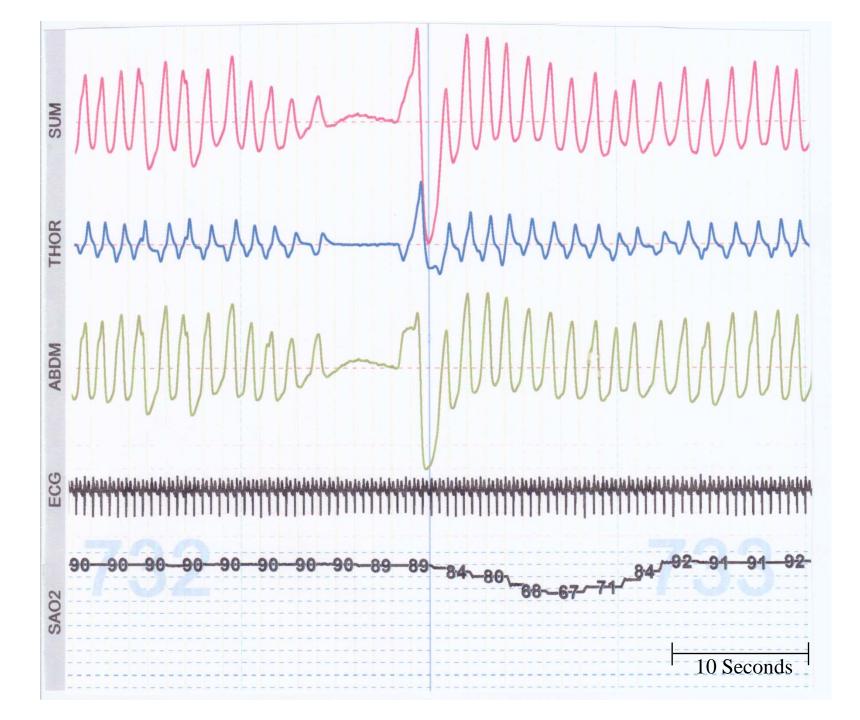
Oxygen Treatment for Immature Infants beyond the Delivery Room: Lessons from Randomized Studies

"...the switch from  $TcPO_2$  monitoring targeting a  $PaO_2$  range of 50-80 mm Hg to  $SpO_2$  monitoring targeting a saturation range 85%-95% ushered in a second era of unrecognized oxygen restriction, unsupported by trial evidence".

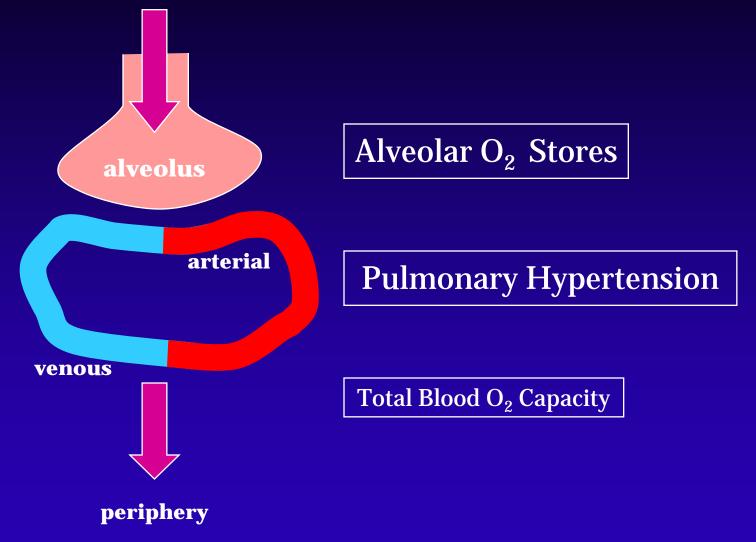
Stenson B, Saugstad OD: J Pediatr 2018

**Do Intermittent Hypoxic Episodes Matter?** 

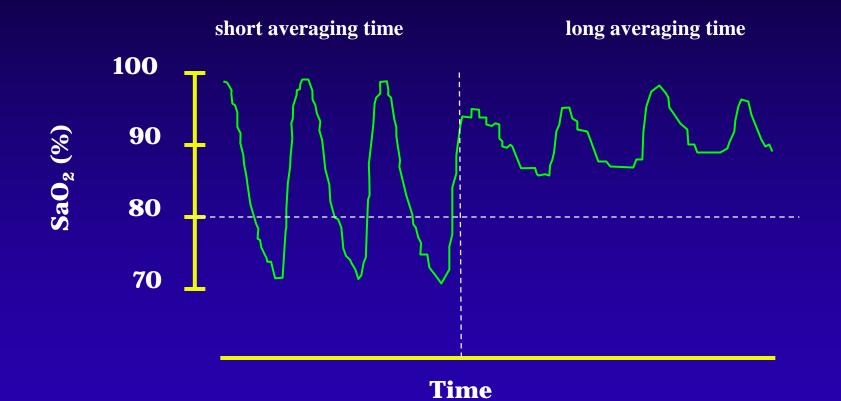
> Historical perspective
> Magnitude of the problem
> Proposed morbidities
> Treatment strategies



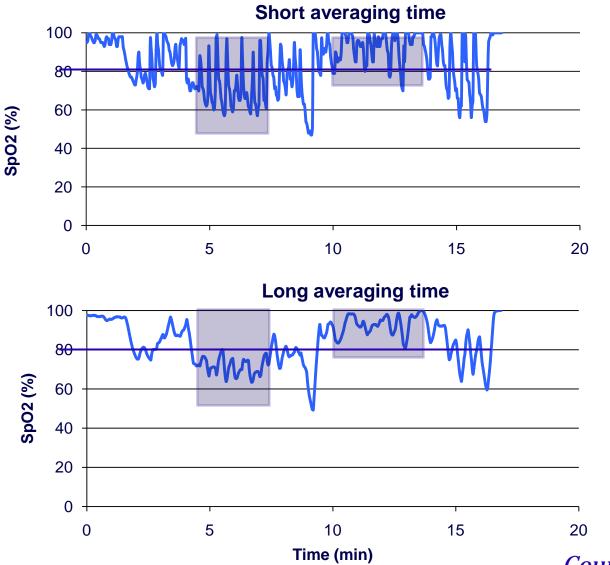
### **Factors Influencing Arterial O<sub>2</sub> Desaturation during Apnea of Prematurity**



### Importance of Pulse Oximeter Averaging Time When Measuring Oxygen Desaturation Episodes

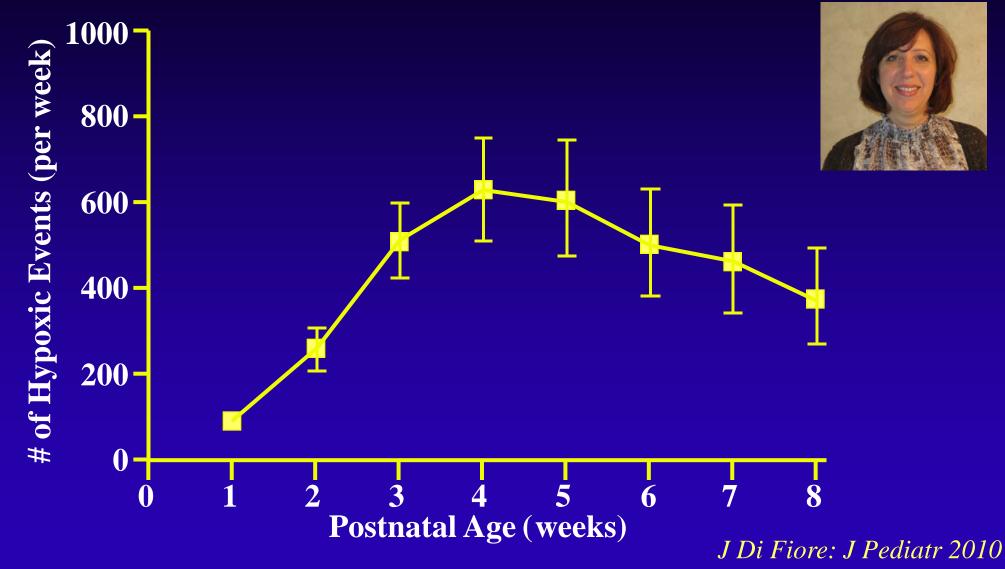


### **Importance of Pulse Oximeter Averaging Times**



Courtesy: J. Di Fiore

#### Mean Number of Desaturation Episodes in Infants of 24 to 28 Weeks' Gestation Over the First 8 Weeks



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#### Review

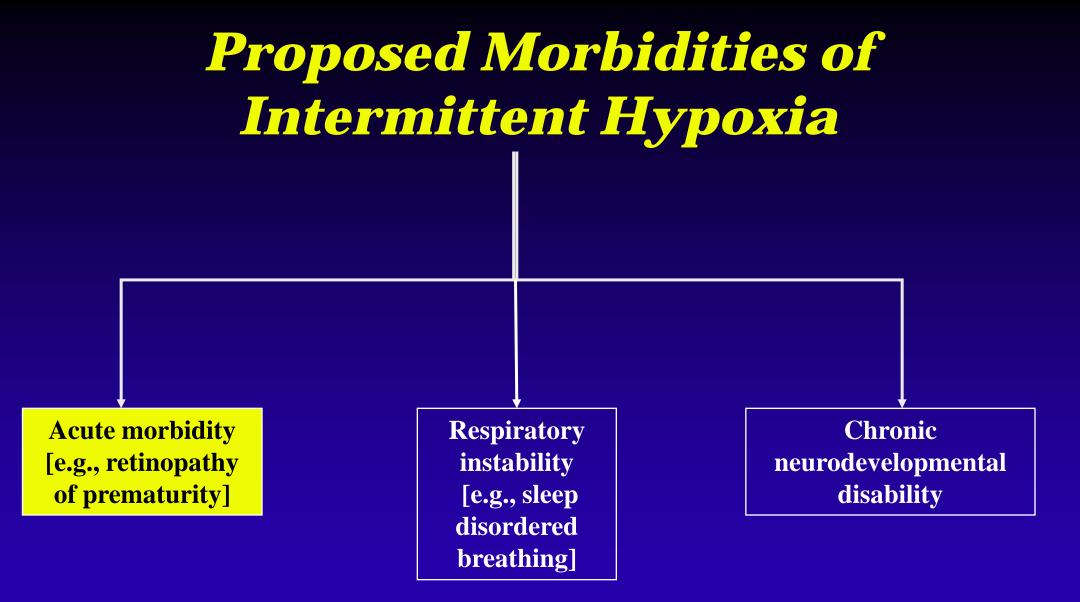
#### Neonatology

Neonatology 2011;100:303–310 DOI: 10.1159/000329922 Published online: October 3, 2011

#### Intermittent Hypoxic Episodes in Preterm Infants: Do They Matter?

Richard J. Martin Katherine Wang Özge Köroğlu Juliann Di Fiore Prabha Kc

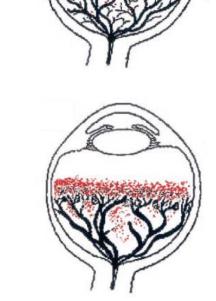
Division of Neonatology, Rainbow Babies and Children's Hospital, Case Western Reserve University, Cleveland, Ohio, USA



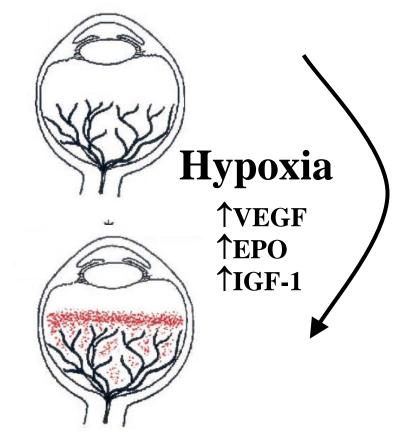
# **Role of Oxygenation in Genesis of ROP**



↓VEGF ↓EPO ↓IGF-1 *vessel growth stops* 

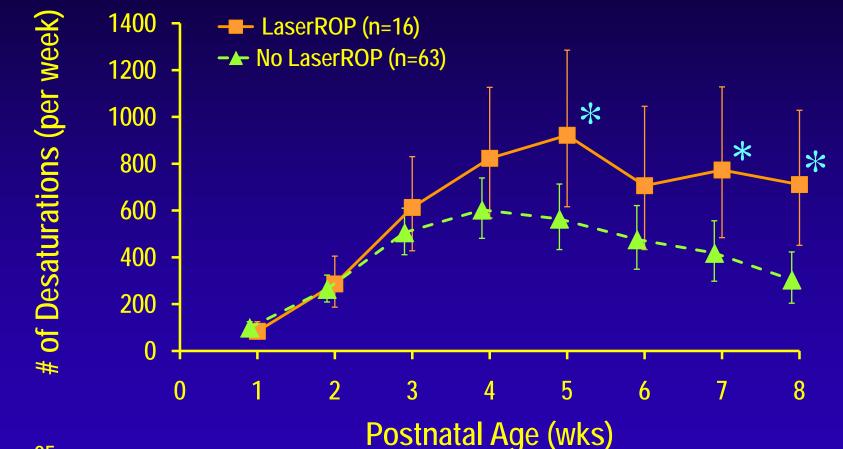


neovascularization



Adapted from Chow, Pediatrics 2003

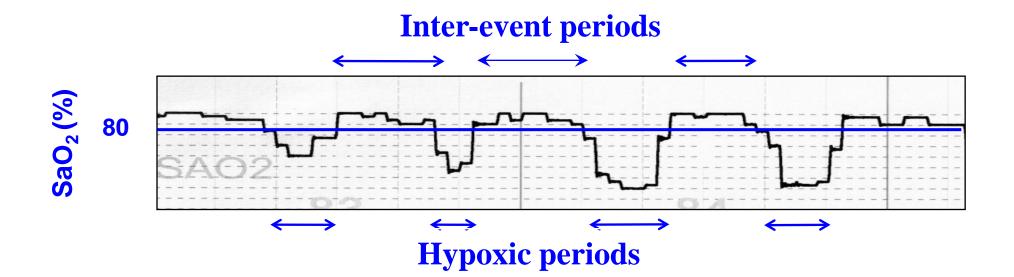
### Model Based Estimate of Desaturation Episodes in Infants with and without Laser Therapy for ROP



\* p<.05 Mean± 95% confidence Interval

J Di Fiore: J Pediatr 2010

# **Timing of Intermittent Hypoxic Events**

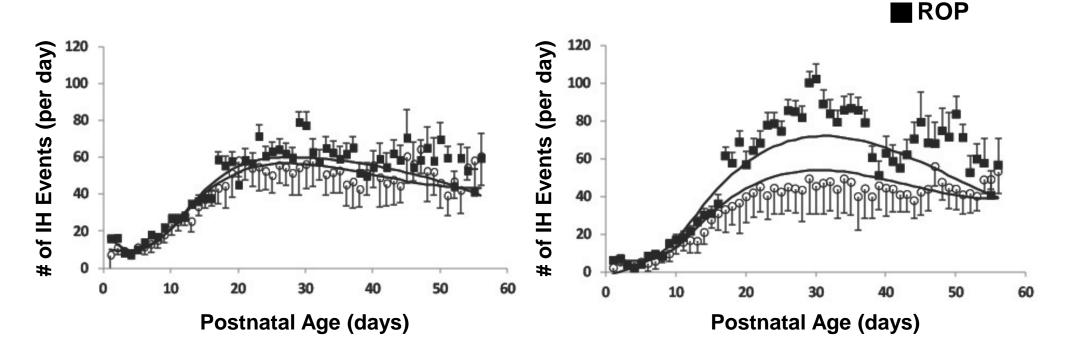


Di Fiore: Pediatr Res 2012

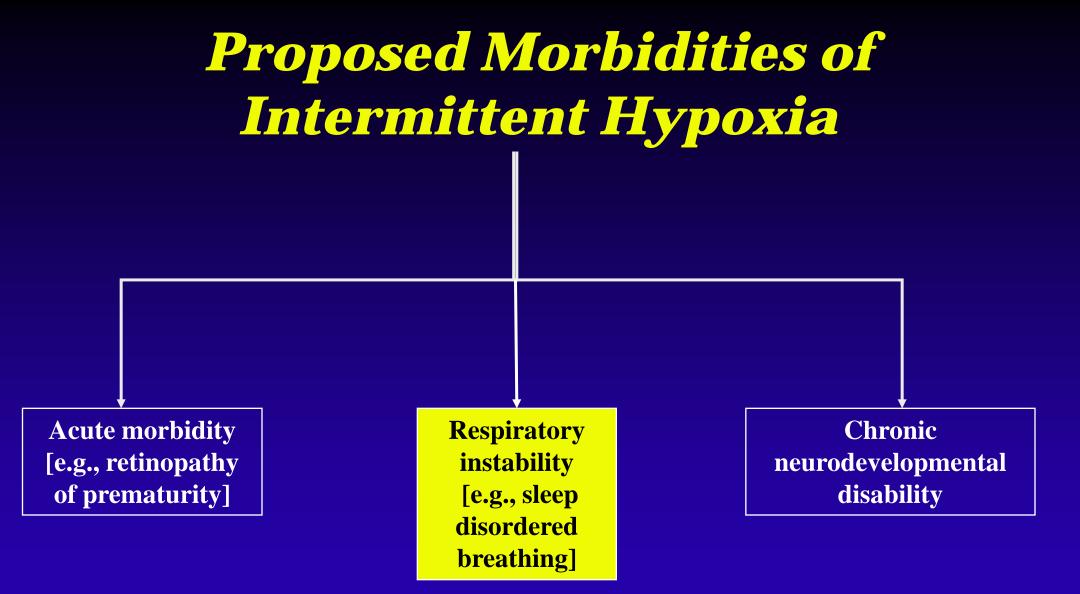
### *Effect of Time Interval Between Intermittent Hypoxic Events*

**Time Intervals <1 min** 

**Time Intervals >1 min** 



Di Fiore: Pediatr Res 2012



## Anatomy of Carotid Bodies

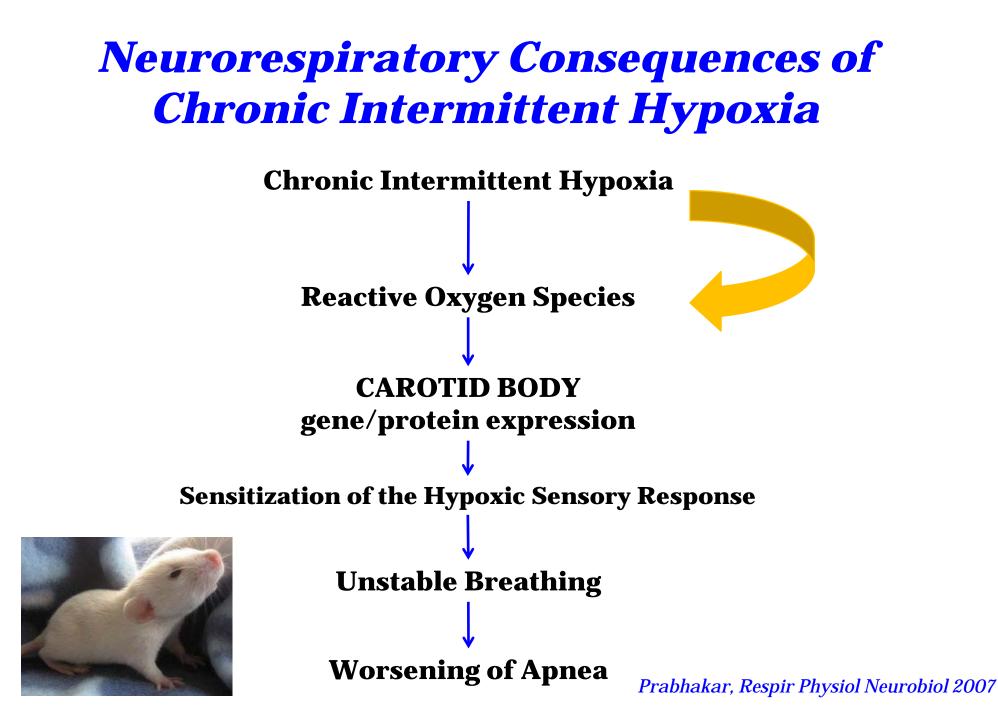
PETROSAL GANGLION

INTERNAL CAROTID

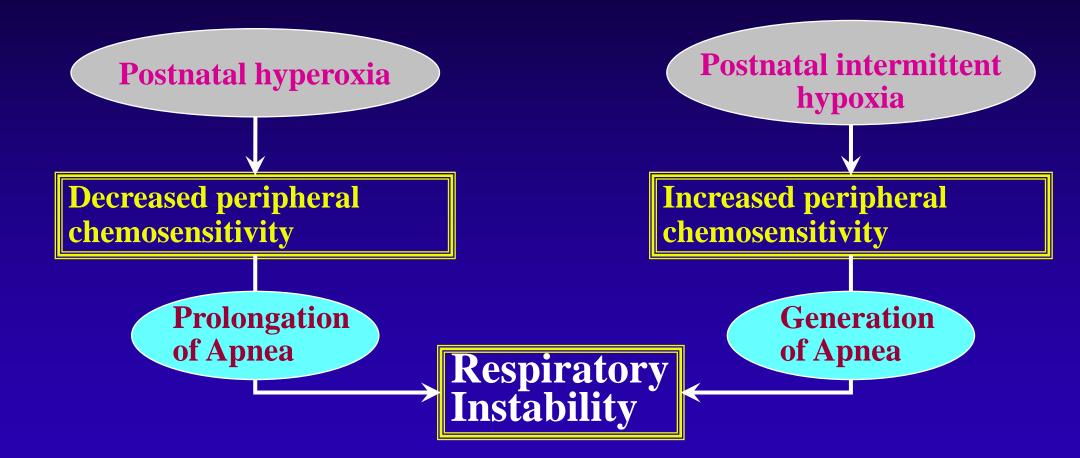
CAROTID BODY -

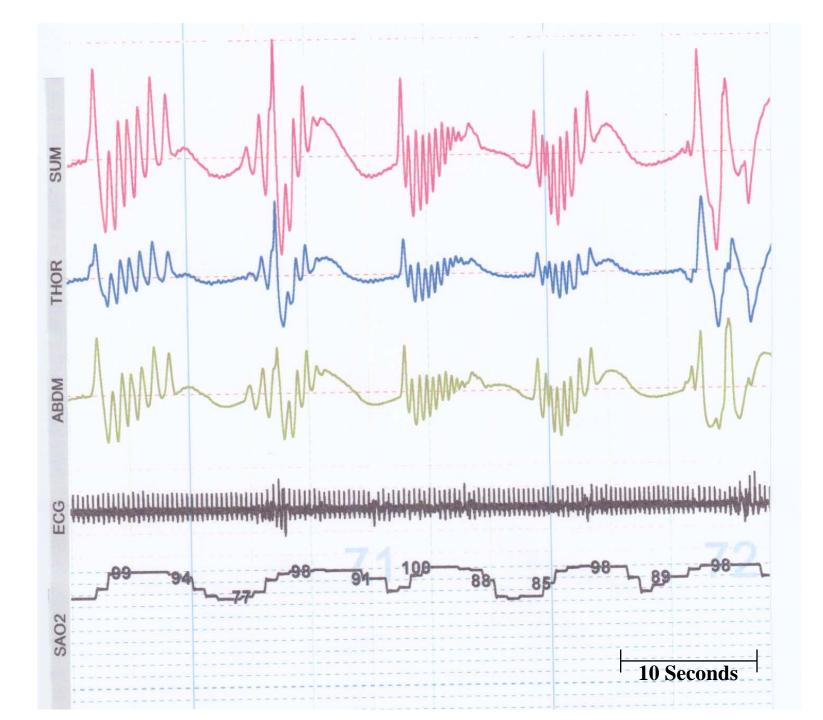
SUPERIOR CERVICAL GANGLION

**COMMON CAROTID ARTERY** 



### Modulation of Peripheral Chemoreceptor Function During Development





### **Risk for Sleep Disordered Breathing [Combined Apnea, Hypopnea Measure] at 8-11 years**

	OR (95% CI)	p-value
Preterm	3.0 (1.5-6.5)	0.002

CL Rosen, et al: J Pediatr 2003

# PEDIATRACES®

#### Very Low Birth Weight Increases Risk for Sleep-Disordered Breathing in Young Adulthood: The Helsinki Study of Very Low Birth Weight Adults

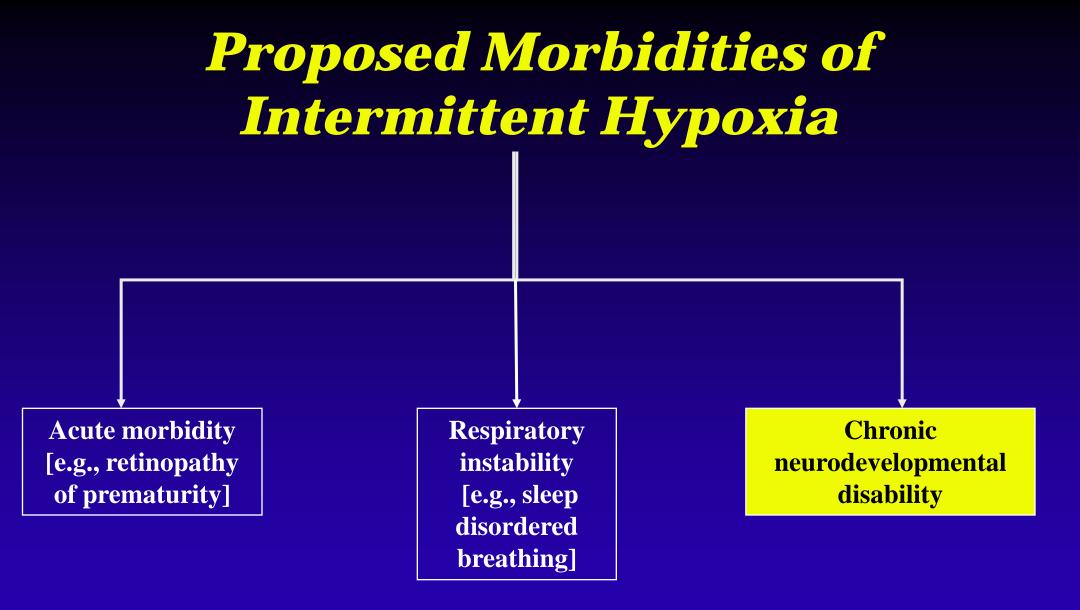
E. Juulia Paavonen, MD, PhD, BSocSc<sup>a</sup>, Sonja Strang-Karlsson, MD<sup>b,c</sup>, Katri Räikkönen, PhD<sup>a</sup>, Kati Heinonen, PhD<sup>a</sup>, Anu-Katriina Pesonen, PhD<sup>a</sup>, Petteri Hovi, MD<sup>b,c</sup>, Sture Andersson, MD, PhD<sup>c</sup>, Anna-Liisa Järvenpää, MD, PhD<sup>c</sup>, Johan G. Eriksson, MD, PhD<sup>b,d</sup>, Eero Kajantie, MD, PhD<sup>b,c</sup>

PEDIATRICS October 2007

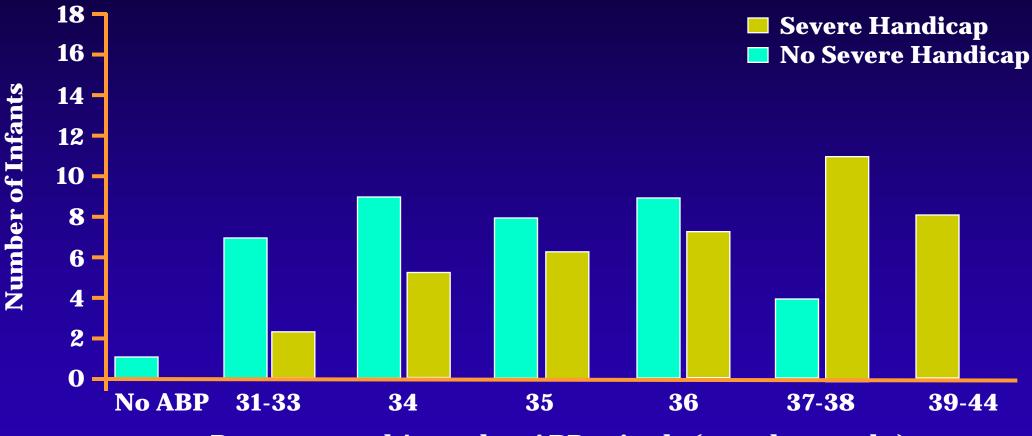
\* via Questionnaire

### Long-Term Effects of Caffeine Therapy for Apnea of Prematurity on Sleep at School Age

- The percentage of children with obstructive sleep apnea (8.2% of caffeine group versus 11.0% of placebo; P = 0.22)....was high, but did not differ significantly between groups.
- Ex-preterm infants, regardless of caffeine status, are at risk for obstructive sleep apnea....in later childhood.



#### **Persistence of Apnea and Bradycardia of Prematurity** (ABP) is Associated with Poor Neurodevelopmental Outcome



**Postmenstrual Age at last ABP episode (complete weeks)** 

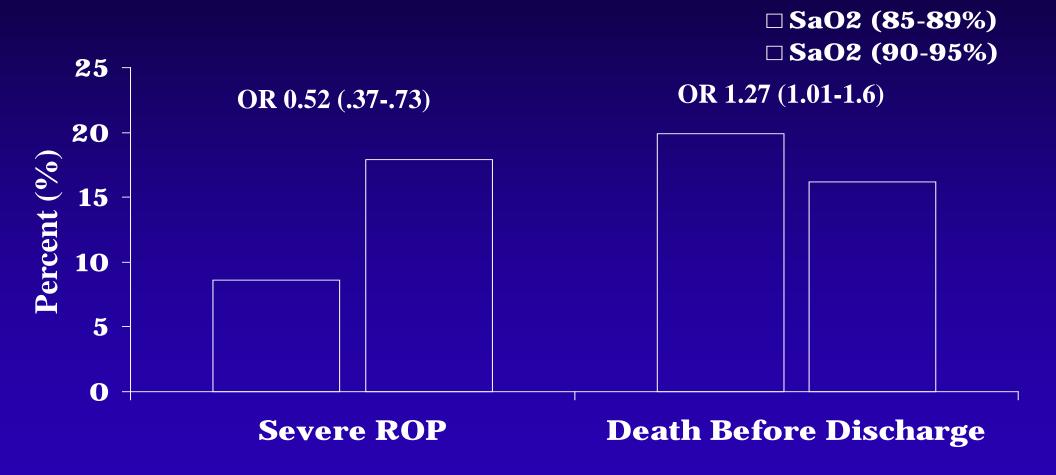
In ELBWs is Targeting an SaO<sub>2</sub> of 85-89% vs 91-95% Associated with a Difference in Death or a Major Disability at a Corrected Age of 24 Months?

➢ SUPPORT (n=1316)

➢ BOOST II (n=1187)

➢ COT (n=1201)

### Lower Baseline Ranges of Oxygen Saturation Decrease ROP but Increase Mortality in ELBW Infants

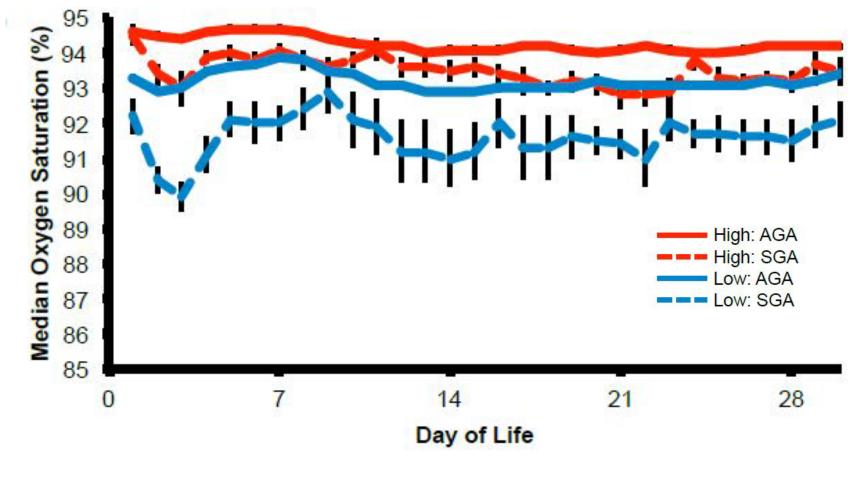


**NEJM 2010** 

## **Tentative Conclusions**

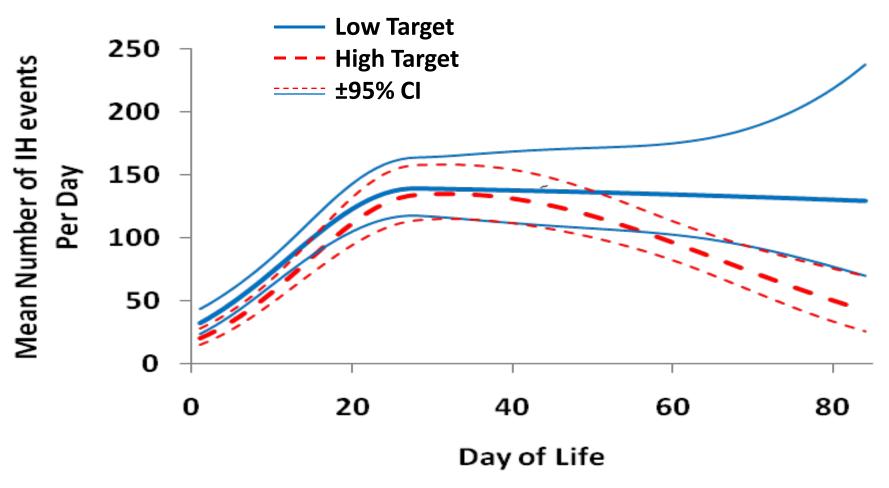
- Collective data suggest that risks associated with restricting the upper SpO<sub>2</sub> target limit to 89% outweigh the benefits. Quality of evidence was moderate." · Manja: Pediatrics 2017
- \* "Recent RCTs suggest that a targeted oxygen saturation range of 90% to 95% may be safer than 85% to 89%, at least for some infants." Cummings & Polin: Pediatrics 2016

## **Achieved** Oxygen Saturation by Target Group and Growth Status



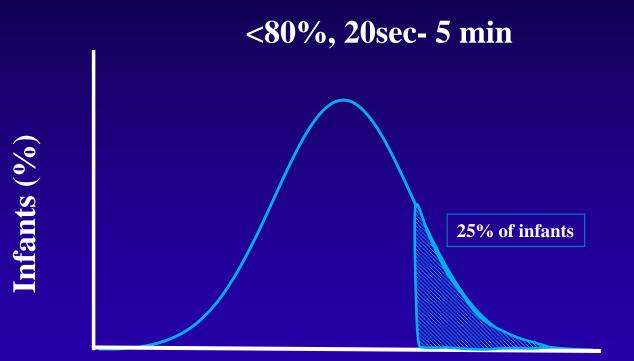
Di Fiore JM: J Pediatr 2017

#### The Incidence of Intermittent Hypoxia in the Low and High Target Groups



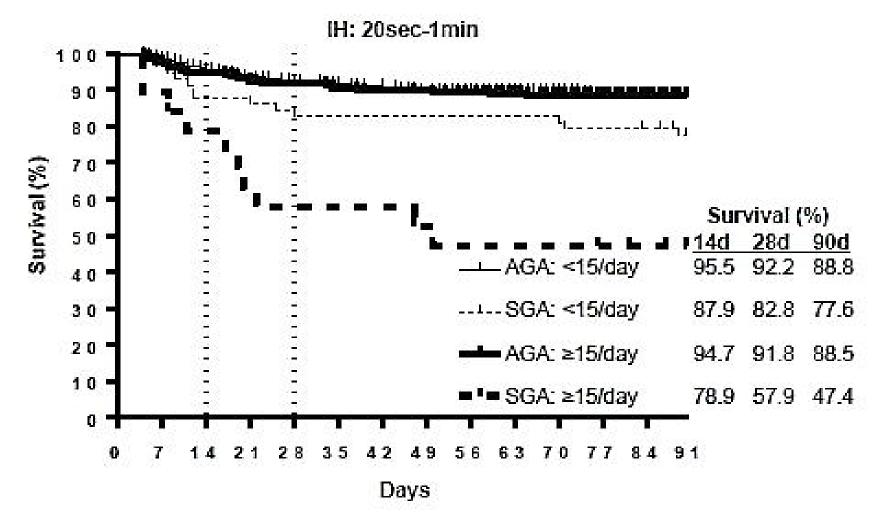
Di Fiore et al: J Pediatr, 2012

## Intermittent Hypoxemic Events During the First 3 Days of Life



Number of IH events

## *Effect of Growth Status and Shorter [20 sec-1 min] IH Events in Days 1-3 on Survival*



Di Fiore JM: J Pediatr 2017

Association between Intermittent Hypoxemia or Bradycardia and Late Death or Disability [including ROP] in Extremely Preterm Infants

"This association was significant only for *prolonged hypoxemic episodes* lasting at least 1 minute...Bradycardia did not alter the prognostic value of hypoxemia."

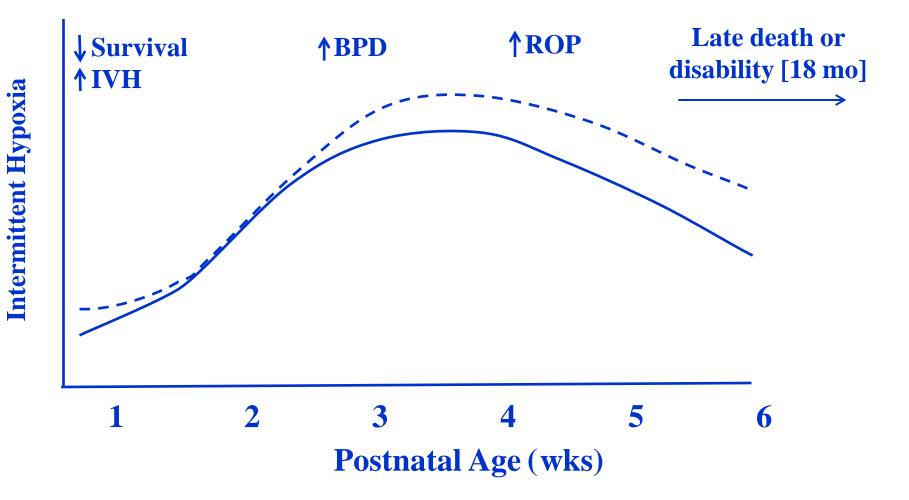
Poets CF et al: JAMA, 2015

# *Time with SpO<sub>2</sub> <80% and Outcome at 18 months of Age*

Outcomes	IH <1min			IH≥1min		
	OR (95% CI)	RR (95% CI)	P value	OR (95% CI)	RR (95% CI)	P value
Late Death or Disability	1.04 (.61-1.77)	1.01 (.77-1.32)	.88	3.4 (1.95-5.93)	1.66 (1.35-2.05)	<.001
Cognitive/ language delay	.96 (.56-1.64)	.96 (.72-1.29)	.87	2.88 (1.65-5.02)	1.61 (1.29-2.03)	<.001
Motor Impairment	2.27 (.90-5.74)	1.90 (.90-4.04)	.08	5.20 (2.48-10.92)	3.51 (2.16-5.72)	<.001
Severe ROP	1.84 (0.86-3.95)	1.46 (0.86-2.47)	.12	2.95 (1.47-5.90)	1.93 (1.26-2.98)	.002

Poets: JAMA 2015

#### Association between Intermittent Hypoxia and Adverse Outcomes

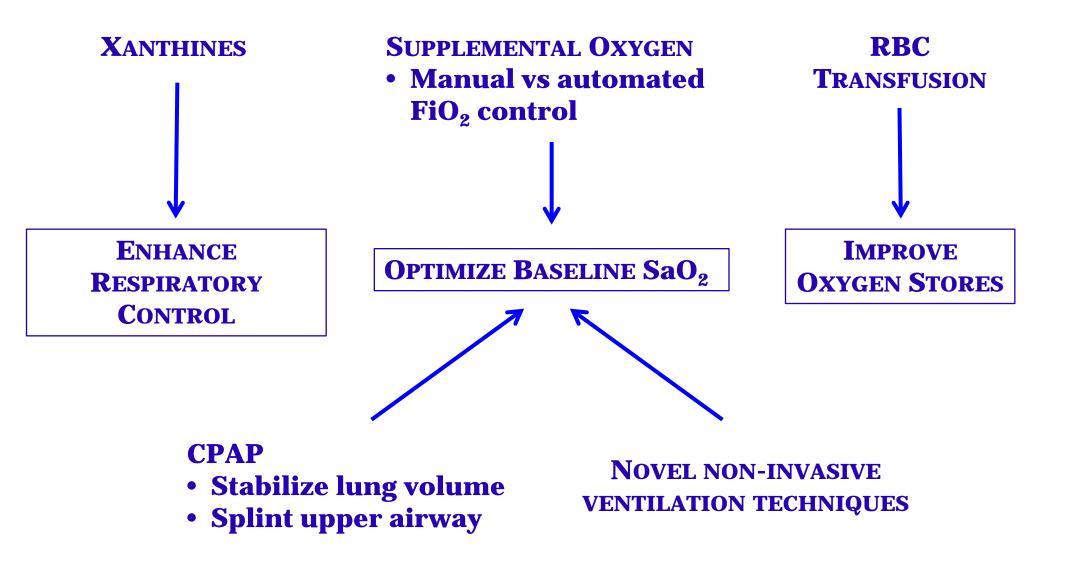


Modified from: J Di Fiore

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#### **TREATMENT STRATEGIES FOR INTERMITTENT HYPOXIA**





#### **Doxapram Treatment for Apnea of Prematurity: A Systematic Review**

Roseanne J.S. Vliegenthart,\* Christine H. ten Hove, Wes Onland, and Anton H.L.C. van Kaam

#### **Conclusion:**

Based on the limited number of studies and level of evidence, no firm conclusions on the efficacy and safety of doxapram in preterm infants can be drawn. For this reason, routine use cannot be recommended.

Neonatology 2017

**Early Postnatal Life** 

#### **Basal Expression**

**Inflammatory Signals/Neurotransmitters/ROS/Trophic Factors** 

